FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION

601 NEW JERSEY AVENUE, NW SUITE 9500 WASHINGTON, DC 20001

April 6, 2004

SECRETARY OF LABOR, :

MINE SAFETY AND HEALTH
ADMINISTRATION (MSHA)

: Docket No. WEST 2004-213-M

v. : A.C. No. 02-02638-05513

:

DOUBLE J SAND & ROCK

BEFORE: Duffy, Chairman; Beatty, Jordan, Suboleski, and Young, Commissioners

<u>ORDER</u>

BY THE COMMISSION:

This matter arises under the Federal Mine Safety and Health Act of 1977, 30 U.S.C. § 801 et seq. (1994) ("Mine Act"). On May 6, 2003, the Commission received from Double J Sand & Rock ("Double J") correspondence which we construe as a motion to reopen a penalty assessment that had become a final order of the Commission pursuant to section 105(a) of the Mine Act, 30 U.S.C. § 815(a).

Under section 105(a) of the Mine Act, an operator who wishes to contest a proposed penalty must notify the Secretary of Labor no later than 30 days after receiving the proposed penalty assessment. If the operator fails to notify the Secretary, the proposed penalty assessment is deemed a final order of the Commission. 30 U.S.C. § 815(a).

On or around February 10, 2003, the Department of Labor's Mine Safety and Health Administration ("MSHA") issued to Double J a proposed penalty assessment (A.C. No. 02-02638-05513). On April 9, 2003, Double J, by its owner, Jim Jones, submitted a request for hearing ("green card") to contest the proposed assessment, which MSHA received on April 12, 2003. However, the penalty assessment had become a final order of the Commission, pursuant to section 105(a), thirty days after Double J received it. In Double J's motion, Jones states that on January 11, 2003, he and his wife were involved in a plane crash, which resulted in his wife's death, leaving him unable to attend to business for several months. Mot. Double J attached to its request a copy of a letter to MSHA's Civil Penalty Compliance Office in Arlington, Virginia

dated April 9, 2003, protesting the citations, a letter from MSHA dated April 18, 2003, acknowledging Double J's late contest letter, and a copy of Barbara L. Jones' death certificate. The Secretary states that she does not oppose Double J's request for relief.

We have held that in appropriate circumstances, we possess jurisdiction to reopen uncontested assessments that have become final Commission orders under section 105(a). *Jim Walter Res., Inc.*, 15 FMSHRC 782, 786-89 (May 1993) ("*JWR*"). In evaluating requests to reopen final section 105(a) orders, the Commission has found guidance in Rule 60(b) of the Federal Rules of Civil Procedure under which, for example, a party could be entitled to relief from a final order of the Commission on the basis of inadvertence or mistake. *See* 29 C.F.R. § 2700.1(b) ("the Commission and its Judges shall be guided so far as practicable by the Federal Rules of Civil Procedure"); *JWR*, 15 FMSHRC at 787. We have also observed that default is a harsh remedy and that, if the defaulting party can make a showing of good cause for a failure to timely respond, the case may be reopened and appropriate proceedings on the merits permitted. *See Coal Prep. Servs., Inc.*, 17 FMSHRC 1529, 1530 (Sept. 1995).

Having reviewed Double J's motion, in the interests of justice, we remand this matter to the Chief Administrative Law Judge for a determination of whether good cause exists for Double J's failure to timely contest the penalty proposal and whether relief from the final order should be granted. If it is determined that such relief is appropriate, this case shall proceed pursuant to the Mine Act and the Commission's Procedural Rules, 29 C.F.R. Part 2700.

Micl	ael F. Duffy, Chairman	
D ala	at II Dootter In Commissioner	
Kob	ert H. Beatty, Jr., Commissioner	
Mar	Lu Jordan, Commissioner	
Stan	ey C. Suboleski, Commissioner	

Distribution

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